

# WINJAMMER FILING

**INITIAL**

**End Date:6/12/2025**

**Firm Name:Wells Fargo Securities LLC**

**Form:Daily Seg - FOCUS II - Daily**

**Submit Date:6/13/2025**

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Daily Segregation - Cover Page

Name of Company	<u>Wells Fargo Securities LLC</u>
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FCM's Customer Segregated Funds Residual Interest Target (choose one):	
a. Minimum dollar amount: ; or	<u>135,000,000</u>
b. Minimum percentage of customer segregated funds required:% ; or	<u>0</u>
c. Dollar amount range between:and; or	<u>0 0</u>
d. Percentage range of customer segregated funds required between:% and%.	<u>0 0</u>

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):	
a. Minimum dollar amount: ; or	<u>25,000,000</u>
b. Minimum percentage of customer secured funds required:% ; or	<u>0</u>
c. Dollar amount range between:and; or	<u>0 0</u>
d. Percentage range of customer secured funds required between:% and%.	<u>0 0</u>

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):	
a. Minimum dollar amount: ; or	<u>485,000,000</u>
b. Minimum percentage of cleared swaps customer collateral required:% ; or	<u>0</u>
c. Dollar amount range between:and; or	<u>0 0</u>
d. Percentage range of cleared swaps customer collateral required between:% and%.	<u>0 0</u>

Attach supporting documents CH

**INITIAL****End Date:6/12/2025****Firm Name:Wells Fargo Securities LLC****Form:Daily Seg - FOCUS II - Daily****Submit Date:6/13/2025****Daily Segregation - Secured Amounts**

## Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

0 [7305]

## 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers

A. Cash

133,275,780 [7315]

B. Securities (at market)

88,763,611 [7317]

## 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade

4,449,324 [7325]

## 3. Exchange traded options

a. Market value of open option contracts purchased on a foreign board of trade

768 [7335]

b. Market value of open contracts granted (sold) on a foreign board of trade

0 [7337]

## 4. Net equity (deficit) (add lines 1. 2. and 3.)

226,489,483 [7345]

## 5. Account liquidating to a deficit and account with a debit balances - gross amount

2,696,923 [7351]

Less: amount offset by customer owned securities

-2,636,120 [7352] 60,803 [7354]

## 6. Amount required to be set aside as the secured amount - Net Liquidating Equity

226,550,286 [7355]

Method (add lines 4 and 5)

## 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line

226,550,286 [7360]

6.

## FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

## 1. Cash in banks

A. Banks located in the United States

134,786,736 [7500]

B. Other banks qualified under Regulation 30.7

402,166 [7520] 135,188,902 [7530]

## 2. Securities

A. In safekeeping with banks located in the United States

32,815,076 [7540]

B. In safekeeping with other banks qualified under Regulation 30.7

0 [7560] 32,815,076 [7570]

## 3. Equities with registered futures commission merchants

A. Cash

103,073,209 [7580]

B. Securities

14,124,748 [7590]

C. Unrealized gain (loss) on open futures contracts

2,168,302 [7600]

D. Value of long option contracts

768 [7610]

E. Value of short option contracts

0 [7615] 119,367,027 [7620]

## 4. Amounts held by clearing organizations of foreign boards of trade

A. Cash

0 [7640]

B. Securities

0 [7650]

C. Amount due to (from) clearing organization - daily variation

0 [7660]

D. Value of long option contracts

0 [7670]

E. Value of short option contracts

0 [7675] 0 [7680]

## 5. Amounts held by members of foreign boards of trade

A. Cash

12,801,074 [7700]

B. Securities

41,823,787 [7710]

C. Unrealized gain (loss) on open futures contracts

7,075,508 [7720]

D. Value of long option contracts

0 [7730]

E. Value of short option contracts

0 [7735] 61,700,369 [7740]

## 6. Amounts with other depositories designated by a foreign board of trade

0 [7760]

## 7. Segregated funds on hand

0 [7765]

## 8. Total funds in separate section 30.7 accounts

349,071,374 [7770]

## 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)

122,521,088 [7380]

## 10. Management Target Amount for Excess funds in separate section 30.7 accounts

25,000,000 [7780]

## 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target

97,521,088 [7785]

**INITIAL****End Date:6/12/2025****Firm Name:Wells Fargo Securities LLC****Form:Daily Seg - FOCUS II - Daily****Submit Date:6/13/2025****Daily Segregation - Segregation Statement**

## SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

1.	Net ledger balance	
	A. Cash	<u>4,384,861,344</u> [7010]
	B. Securities (at market)	<u>3,678,699,225</u> [7020]
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	<u>22,479,332</u> [7030]
3.	Exchange traded options	
	A. Add market value of open option contracts purchased on a contract market	<u>1,323,541,734</u> [7032]
	B. Deduct market value of open option contracts granted (sold) on a contract market	<u>-1,182,865,804</u> [7033]
4.	Net equity (deficit) (add lines 1, 2 and 3)	<u>8,226,715,831</u> [7040]
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	<u>108,070,972</u> [7045]
	Less: amount offset by customer securities	<u>-108,042,335</u> [7047] <u>28,637</u> [7050]
6.	Amount required to be segregated (add lines 4 and 5)	<u>8,226,744,468</u> [7060]
	FUNDS IN SEGREGATED ACCOUNTS	
7.	Deposited in segregated funds bank accounts	
	A. Cash	<u>321,358,884</u> [7070]
	B. Securities representing investments of customers' funds (at market)	<u>600,000,000</u> [7080]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u>509,193,961</u> [7090]
8.	Margins on deposit with derivatives clearing organizations of contract markets	
	A. Cash	<u>2,772,827,938</u> [7100]
	B. Securities representing investments of customers' funds (at market)	<u>1,372,845,634</u> [7110]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u>3,169,505,264</u> [7120]
9.	Net settlement from (to) derivatives clearing organizations of contract markets	<u>2,427,508</u> [7130]
10.	Exchange traded options	
	A. Value of open long option contracts	<u>1,323,541,734</u> [7132]
	B. Value of open short option contracts	<u>-1,182,865,804</u> [7133]
11.	Net equities with other FCMs	
	A. Net liquidating equity	<u>0</u> [7140]
	B. Securities representing investments of customers' funds (at market)	<u>0</u> [7160]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u>0</u> [7170]
12.	Segregated funds on hand	<u>0</u> [7150]
13.	Total amount in segregation (add lines 7 through 12)	<u>8,888,835,119</u> [7180]
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	<u>662,090,651</u> [7190]
15.	Management Target Amount for Excess funds in segregation	<u>135,000,000</u> [7194]
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount	<u>527,090,651</u> [7198]
	Excess	

**INITIAL****End Date:6/12/2025****Firm Name:Wells Fargo Securities LLC****Form:Daily Seg - FOCUS II - Daily****Submit Date:6/13/2025****Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS  
AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

## Cleared Swaps Customer Requirements

1.	Net ledger balance	
	A. Cash	<u>-5,883,968,123</u> [8500]
	B. Securities (at market)	<u>11,624,442,489</u> [8510]
2.	Net unrealized profit (loss) in open cleared swaps	<u>10,545,741,865</u> [8520]
3.	Cleared swaps options	
	A. Market value of open cleared swaps option contracts purchased	<u>0</u> [8530]
	B. Market value of open cleared swaps option contracts granted (sold)	<u>0</u> [8540]
4.	Net Equity (deficit) (add lines 1, 2, and 3)	<u>16,286,216,231</u> [8550]
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	<u>342,427,989</u> [8560]
	Less: amount offset by customer owned securities	<u>-342,405,432</u> [8570] <u>22,557</u> [8580]
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	<u>16,286,238,788</u> [8590]
	Funds in Cleared Swaps Customer Segregated Accounts	
7.	Deposited in cleared swaps customer segregated accounts at banks	
	A. Cash	<u>716,238,114</u> [8600]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>749,959,458</u> [8610]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>800,816,042</u> [8620]
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts	
	A. Cash	<u>2,758,554,790</u> [8630]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>1,525,275,788</u> [8640]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>10,823,626,447</u> [8650]
9.	Net settlement from (to) derivatives clearing organizations	<u>-225,716,918</u> [8660]
10.	Cleared swaps options	
	A. Value of open cleared swaps long option contracts	<u>0</u> [8670]
	B. Value of open cleared swaps short option contracts	<u>0</u> [8680]
11.	Net equities with other FCMs	
	A. Net liquidating equity	<u>0</u> [8690]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8700]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8710]
12.	Cleared swaps customer funds on hand	
	A. Cash	<u>0</u>
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8715]
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	<u>17,148,753,721</u> [8720]
14.	Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)	<u>862,514,933</u> [8730]
15.	Management Target Amount for Excess funds in cleared swaps segregated accounts	<u>485,000,000</u> [8760]
16.	Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management	<u>377,514,933</u> [8770]